

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Tracie Brooks Signature Date 7-30-0|

PTAS LAW OFFICES OF JONATHAN ALAN QUINE LINDA STEVENSON P.O. BOX 456 ALAMEDA, CA 94501 UNITED ST. .ES L. PARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS



101802934A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101802934

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE DOCUMENT SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. TO RECORD A DOCUMENT IN THE ASSIGNMENT DIVISION, A COMPLETE COVER SHEET MUST ACCOMPANY THE DOCUMENT OR A COVER SHEET OUTLINING THE REQUIREMENTS FOR RECORDING AS SET FORTH IN TITLE 37 CFR 3.11 MUST BE PRESENT.

SHARON BROOKS, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

BEST AVAILABLE COPY

NUI FOR KULUKHIIII

ASSIGNMENT

U.C. Case No. 2001-328-1

Attorney Docket No.: 407E-000500US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. MARTINS-GREEN, Manuela

2. FEUGATE, Jo Ellen

3. LI, QiJing

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: CHEMOKINES AND METHODS FOR INDUCING THE DIFFERENTIATION OF FIBROBLASTS TO MYOFIBROBLASTS

and which is found in	
(a) U.S. provisional application filed herewith and list	ing the above named persons as inventors
(b) U.S. patent application filed herewith and listing the	e above named persons as inventors
(c) X U.S. application serial no. 09/811162, filed on Ma	rch 16, 2001
(d), issued,	·.
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;	
ASSIGNOR(S) hereby covenants that no assignment, sale, as entered into which would conflict with this assignment;	greement or encumbrance has been or will be made or
ASSIGNOR(S) further covenants that ASSIGNEE will, upon and documents relating to said invention and said Letters Pater to ASSIGNOR and will testify as to the same in any interfer promptly execute and deliver to ASSIGNEE or its legal reprequired to apply for, obtain, maintain, issue or enforce said apequivalents thereof which may be necessary or desirable to cauthorized and requested by the execution of this assignment number of said application when officially known.	nt and legal equivalents as may be known and accessible rence, litigation, or proceeding relating thereto and will resentative any and all papers, instruments or affidavits plication, said invention and said Letters Patent and said arry out the purposes thereof. An attorney of record is
AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.	
executed this	Signature of Inventor(s)
18 day of July , 2001 18 day of July , 2001	Manuela Marker-Gea
1 day of July 2001	

BEST AVAILABLE COPY

Patent and Trademark Offic

U.S. DEPARTMENT OF COMMERC DATION FORM COVER SHEET FCRM PTU-1595 (Rev. 6-93) PATENTS ONLY 1/ OMB 110. 0651-0011 (exp. 4/94) Tab settings □ □ □ ▼ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Name: ____ Internal Address:_____ Additional name(s) of conveying party(ies) attached?

Yes

No 3. Nature of conveyance: Street Address:_____ □ Merger Assignment □ Change of Name ☐ Security Agreement City:_____ State: ____ ZIP:___ Other ______ Additional name(s) & address(es) attached? ☐ Yes ☐ No Execution Date: ______ 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached?

Yes

No 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: LEST AVAILABLE C 7. Total fee (37 CFR 3.41).....\$_____ Name: □ Enclosed Internal Address:_ Authorized to be charged to deposit account 8. Deposit account number: Street Address:_

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy or the original document.

Name of Person Signing

____ State: ____ ZIP:

Signature

(Attach duplicate copy of this page if paying by deposit account)

Date

STATEMENT UNDER 37 CFR 3.73(b) MARTINS-GREEN, Manuela et al., et al. Applicant: March 16, 2001 Filed: Application No.: 09/811162 CHEMOKINES AND METHODS FOR INDUCING THE DIFFERENTIATION OF Entitled: FIBROBLASTS TO MYOFIBROBLASTS corporation The Regents of the University , a of California (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: the assignee of the entire right, title, and interest; or an assignee of an undivided part interest in the patent application identified above by virtue of either: A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached. OR A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below: The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. To: 3. From: The document was recorded in the Patent and Trademark Office at Reel ____, Frame _____, or for which a copy thereof is attached. [] Additional documents in the chain of title are listed on a supplemental sheet [X]Copies of assignments or other documents in the chain of title are attached. The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee. July 20, 2001 Linda S. Stevenson Typed or printed name Manager, Patent Prosecution Title

BEST AVAILABLE COPY